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41 Reg for
Refund
08-18-02

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*PATENT ENGINEER (NON-ATTORNEY)

February 13, 2002

VIA FACSIMILE

To: Mai
Deposit Accounts
U.S.P.T.O.

Facsimile No.: (703) 308-6778

From: Sean M. McGinn

Facsimile No.: (703) 761-2375

Re: December 31, 2001 Deposit Account Statement
U.S. Patent Application Serial No. 09/964,863
Our Ref: ORI.045

Dear Mai:

A charge totaling the amount of \$34.00 was deducted from Deposit Account 50-0481 on December 26, 2001. However, a new application was filed on September 28, 2001 and the PTO charged our Deposit Account \$740.00 instead of \$710.00. Rates increased on October 1, 2001. Therefore, it appears that the \$34.00 was charged in error and should be refunded.

For your convenience, enclosed is a copy of the December 31, 2001, Monthly Statement of Deposit Account, the new application was filed on September 28, 2001. A copy of the date-stamped postcard is enclosed.

Thank you in advance for your consideration on this case.

Very truly yours,



Sean M. McGinn

SMM:yr
Enclosure

6mm15c
081.045

Hand-Delivered

New Application Post Card Filing Receipt and
Request for Early Notification of Serial Number

Attorney's Docket Number: 1051551 076

☒ Patent

☐ Trademark

Applicant's Name: Tanaka, et al. Application Filing Date: 9/28/01

Title: Temperature Controlling Method, Thermal
Rating Apparatus and Method of
Papers Filed Herewith: Producing Semiconductor Device

52 Pages Specification, Claims and Abstract 1 Total Claims 4 Independent Claims

4 Sheets ☒ Formal Drawings ☐ Informal Drawings ☐ Priority Document(s)

☒ Patent Application Transmittal Sheet ☒ Declaration/Power of Attorney

☐ IDS ☐ 1449 Form w/ Documents ☐ Assignment ☐ Recordation Cover ☐

Other _____

Fees Filed Herewith: \$ _____ ☐ Check ☐ Charge Deposit Account: _____



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Tanaka et al.

Serial No.: 09/964,863

Group Art Unit: 2812

Filing Date: September 28, 2001

Examiner: Unknown

For: TEMPERATURE CONTROLLING METHOD, THERMAL TREATING
APPARATUS, AND METHOD OF MANUFACTURING SEMICONDUCTOR
DEVICE

Assistant Commissioner of Patents
Washington, D.C. 20231

ATTENTION: FINANCE DIVISION

REQUEST FOR REFUND

Sir:

The undersigned respectfully requests a refund in the amount of \$34.00 for the above-identified patent application.

The above-referenced new application was filed on September 28, 2001 and the PTO charged our Deposit Account \$740.00 instead of \$710.00. Rates increased on October 1, 2001, therefore, the \$34.00 fee charged on the Monthly Statement of Deposit Account dated December 26, 2001 was charged in error. Therefore, Application respectfully requests that the \$34.00 fee be refunded. Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account number 50-0481.

Respectfully submitted,



Sean M. McGinn, Esq.
Registration No. 34,386

Date: 2/13/02
McGinn & Gibb, PLLC
Intellectual Property Law
8321 Old Courthouse Road, Suite 200
Vienna, Virginia 22182-3817
(703) 761-4100
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Credit - 547.47



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and return top portion with your check. Make check payable to Commissioner of Patents & Trademarks.

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Account No.	500481
Date	12-31-01
Page	1

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FINA

PLEASE SEND REMITTANCES TO:
Patent and Trademark Office
P.O. Box 70541
Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
MO.	DAY	YR.						
12	3	01	108	09933119	LIN.001	101	30.00	3568.00
12	4	01	180	09942982		101	18.00	3550.00
12	5	01	200	09942982		101	-18.00	3568.00
12	5	01	206	09942982		101	58.00	3510.00
12	7	01	1	09512875	A206-1	102	84.00	3426.00
12	7	01	4	09741003	FP-1075 US	102	-240.00	3666.00
12	7	01	5	09741003	FP-1075 US	102	160.00	3506.00
12	7	01	8	09330803	BU9-98-228	103	-72.00	3578.00
12	7	01	202	09950015	PU01-01104	101	30.00	3548.00
12	10	01	4	09201205	HQ9-98-063	102	-160.00	3708.00
12	11	01	1	09073399	118017/97	126	180.00	3528.00
12	11	01	127	09511327	46016/99	102	16.00	3512.00
12	14	01	3	09348164	99USFP373-MN	102	336.00	3176.00
12	14	01	13	09983036	DP612USDIV	103	144.00	3032.00
12	17	01	20	09377736	233170/98	117	920.00	2112.00
12	18	01	11	09933119	LIN.001	102	420.00	1692.00
12	18	01	12	09933119	LIN.001	103	18.00	1674.00
12	19	01	17	10016628	SH-0028US	101	740.00	934.00
12	19	01	18	10016628	SH-0028US	102	84.00	850.00
12	19	01	19	10016628	SH-0028US	103	36.00	814.00
12	20	01	75	09964864	KOKUSAI081	105	30.00	784.00
12	26	01	213	09964863	KOKUSAI 076	105	34.00	750.00
12	26	01	300	505432		569	25.00	725.00
12	27	01	63	10025582	FJ-2001-033	102	40.00	685.00
12	27	01	155	09963665		139	30.00	655.00
12	28	01	31	10025582	FJ-2001-033	102	-40.00	695.00
12	28	01	36	10025582	FJ-2001-033	102	80.00	615.00
12	31	01	238	SERVICE CHARGE		608	25.00	590.00

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT.

OPENING BALANCE
3598.00

TOTAL CHARGES
3538.00

TOTAL CREDITS
530.00

CLOSING BALANCE
590.00